



# **Catholic Relief Services – United States Conference of Catholic Bishops**

## **Connecting farming communities to coastal economies in El Balsamo – COSTA VIVA (P176700)**

### **Negotiated Version**

## **ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)**

**April 25, 2024**

**ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN**

1. Catholic Relief Services - United States Conference of Catholic Bishops (CRS) (the Recipient) will implement the Connecting farming communities to coastal economies in El Balsamo – COSTA VIVA project (the Project) as set out in the Grant Agreement. The International Bank for Reconstruction and Development (the Bank), acting as the administrator of the Japanese Social Development Fund (JSDF), has agreed to provide support for the Project (P176700), as established in the Grant Agreement.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Grant Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Bank.
4. As agreed by the Bank and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the CRS Country Representative for El Salvador of the Recipient. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>MONITORING AND REPORTING</b>		
<p><b>A</b>    <b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Bank as part of the Project Reports, regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project and implementation of the ESCP, including on the status of preparation and implementation of the environmental and social documents required for the Project, stakeholder engagement activities, and the functioning of the grievance redress mechanism.</p> <p>The Project Operations Manual (POM) shall include an annex describing the minimum requirements and format of said reports.</p>	<p>Starting from the Effective Date, semiannual report throughout Project implementation jointly with the Project Reports and no later than 45 days after the end of the semester, as specified in Section 2.06 of the Annex to the Grant Agreement.</p>	<p>CRS - Project Implementation Unit (PIU)</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or project workers, including, inter alia, accidents that result in death, serious or multiple injury, incidents of sexual exploitation and abuse and sexual harassment (SEA/SH). Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any service provider or contractor as appropriate.</p> <p>Subsequently, at the Bank's request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<ol style="list-style-type: none"> <li>1. Notify the Bank, within 48 hours after learning of the incident/accident.</li> <li>2. Provide subsequent report to the Bank within a timeframe acceptable to the Bank.</li> </ol>	CRS
C	<p><b>REGULAR REPORTS CONTRACTORS</b></p> <p>Require contractors to provide monthly monitoring reports on ESHS performance, including implementation of E&amp;S measures and instruments in specific activities, in accordance with the metrics specified in the respective instruments and/or bidding documents and contracts, and submit such reports to the Bank.</p>	<p>Contractors shall prepare and deliver to the PIU monthly monitoring reports throughout the corresponding activities or works.</p> <p>The PIU shall forward these reports to the Bank on a semiannual basis, through the semiannual reports referred to in Action A.</p>	CRS
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>CRS shall establish and maintain, as part of its Project Implementation Unit (PIU), an organizational structure with qualified staff to support the management of the Project’s ESHS aspects, under terms of reference approved by the Bank and with qualifications and experience acceptable to the Bank. This support shall include at least one Environmental and Social Specialist employed throughout Project implementation.</p> <p>CRS Shall ensure that any service providers hired to implement activities under the Project have the sufficient E&amp;S capacity to implement the E&amp;S tasks under their responsibility per the Project’s E&amp;S instruments.</p>	<p>Establish and maintain a PIU, as set out in the Grant Agreement.</p> <p>The PIU shall hire or appoint the Environmental and Social Specialist no later than four (4) months after the Effective Date and remain in place throughout Project implementation.</p>	CRS
1.2	<p><b>SERVICE PROVIDERS</b></p> <p>The contracts for service providers hired by CRS to carry out activities related to the implementation of the Project, shall include, inter alia:</p> <ol style="list-style-type: none"> <li>a) The requirement that the service provider support the implementation of the ESCP, including the environmental and social instruments referred therein, as appropriate.</li> <li>b) A description of the service provider’s responsibilities concerning E&amp;S management, as described in the ESCP and the E&amp;S instruments referred to in it, as well as the necessary coordination arrangements.</li> <li>c) The requirement that the service provider have qualified personnel to support the management of E&amp;S aspects under its responsibility.</li> <li>d) The content requirements for E&amp;S management in the reports that the service provider periodically submit to CRS.</li> <li>e) The requirements for the service provider regarding the submission of reports related to accidents/incidents.</li> </ol>	Prior to hiring the service providers.	CRS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.3	<p><b>TECHNICAL COMMITTEE</b></p> <p>Coordinate and establish a Technical Committee comprising, among other members, a Social and Environmental Specialist, to evaluate Subprojects and select those to be approved, and financed under the Project.</p>	<p>No later than six (6) months after the Effective Date, establish and maintain throughout the implementation of the Project, a technical committee.</p> <p>The establishment of the Technical Committee shall be a withdrawal condition for Category 7 under Part 1(b) and Part 2(b) of the Project. Once established, operate and maintain the Technical Committee throughout the implementation of the Project.</p>	CRS
1.4	<p><b>ENVIRONMENTAL AND SOCIAL MANAGEMENT FRAMEWORK</b></p> <p>Implement the Environmental and Social Management Framework (ESMF) in a manner and with a content acceptable to the Bank and consistent with the ESSs.</p>	<p>The ESMF shall be implemented throughout the duration of the Project.</p>	CRS
1.5	<p><b>ENVIRONMENTAL AND SOCIAL MANAGEMENT PLANS (ESMPs)</b></p> <ol style="list-style-type: none"> <li>1. Prepare, adopt and implement, or cause service providers to prepare, adopt and implement, the ESMPs for Subprojects and activities as set out in the ESMF. The proposed activities in the exclusion list set out in the ESMF shall be ineligible to receive financing under the Project.</li> <li>2. CRS shall ensure or cause the service providers to ensure that the ESMPs or relevant actions therein are included in Subproject documents, business plans, DASH plans, as relevant.</li> </ol>	<ol style="list-style-type: none"> <li>1. Adopt the ESMPs prior to the carrying out of Subprojects or activities that require the adoption of such ESMPs. Once adopted, implement the respective ESMP throughout Project implementation.</li> <li>2. Prior to the approval of the relevant documents and implement throughout Project implementation.</li> </ol>	CRS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.6	<p><b>MANAGEMENT OF CONTRACTORS</b></p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&amp;S instruments, the Labor Management Procedures, and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors. Thereafter ensure that the contractors comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	As part of the preparation of procurement documents and respective contracts. Supervise contractors throughout Project implementation.	CRS
1.7	<p><b>TECHNICAL ASSISTANCE</b></p> <p>Ensure that consultancies, studies, capacity support activities, training and any other form of technical assistance implemented under the Project is conducted in accordance with terms of reference that are acceptable to the Bank, consistent with the ESSs and that include the relevant requirements on ESHS aspects. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout Project implementation.	CRS
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>Implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors.</p>	The LMP shall be implemented throughout the entire duration of the Project.	CRS
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <ol style="list-style-type: none"> <li>Operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</li> <li>Incorporate the requirements of the grievance mechanism for workers for contractors and Subproject workers in the bidding documents and corresponding contracts, as well as in Subproject documents.</li> </ol>	<ol style="list-style-type: none"> <li>Maintain the GRM throughout Project implementation.</li> <li>Before starting the bidding process or before approving Subproject documents.</li> </ol>	CRS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.3	<p><b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</b></p> <p>During the preparation of Subprojects, identify the main occupational risks and hazards, as well as appropriate control measures in accordance with occupational safety standards in El Salvador and as per the LMP.</p>	Same timeframe as for the adoption and implementation of the ESMPs.	CRS
<b>ESS 3: RESOURCES EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<p><b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT AND RESILIENCE AGAINST CLIMATE CHANGE</b></p> <p>Incorporate resource efficiency, pollution prevention and measures to support resilience to climate change in the ESMPs as per the ESMF, consistent with the relevant ESSs.</p>	Same timeframe as for the adoption and implementation of the ESMPs.	CRS
3.2	<p><b>WASTE MANAGEMENT</b></p> <p>Waste shall be managed in accordance with the waste management measures detailed in the ESMF and Subproject ESMPs. The PIU shall coordinate and supervise the proper implementation of the measures defined for each Subproject.</p>	Same timeframe as for the adoption and implementation of the ESMPs.	CRS
3.3	<p><b>AGROCHEMICAL MANAGEMENT</b></p> <p>Comply with World Bank Group’s Environmental, Health and Safety Guidelines (EHSGs), applicable national legislation, guidelines or codes specified in the ESMF. Agrochemical use shall be carried out in accordance with the measures contained in the ESMF to ensure adequate use and handling of such agrochemicals, ensure worker safety, prevent soil and water contamination and reduce pesticide residue in food products.</p>	Same timeframe as for the adoption and implementation of the ESMPs.	CRS
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
4.1	<p><b>COMMUNITY HEALTH AND SAFETY</b></p> <p>Assess and manage specific risks and impacts to the community arising from Project activities, including in relation to the behavior of Project workers, risks of labor influx, response to emergency situations, and include mitigation measures in the ESMPs to be prepared in accordance with the ESMF.</p> <p>Include gender violence and sexual abuse and exploitation prevention and response measures, proportionate to the risks of the Project, including the mapping of services for victims of gender violence, guidelines in the code of conduct for contractors and adequate treatment in the grievance redress mechanisms, as reflected in the SEP.</p>	Same timeframe as for the adoption and implementation of the ESMPs.	CRS
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
This standard is not currently relevant.			
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	<p><b>BIODIVERSITY RISK AND IMPACT MANAGEMENT</b></p> <p>Adopt and implement measures and actions to avoid, reduce or mitigate the impacts of project activities on biodiversity and the sustainable management of living natural resources. Subprojects will incorporate climate smart agriculture principles. Activities will not be allowed inside legally protected areas or their buffer zones, according to the exclusion list established in the ESMF. These measures and actions shall be included in the Subproject ESMPs, as appropriate, and prepared in accordance with the ESMF.</p>	Same timeframe as for the adoption and implementation of the ESMPs.	CRS
<b>ESS 7: INDIGENOUS PEOPLES/ SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
This standard is not currently relevant.			
<b>ESS 8: CULTURAL HERITAGE</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
8.1	<p><b>CHANCE FINDS</b></p> <p>Describe and implement or cause the service providers to describe and implement the chance finds procedures, as part of the Subproject ESMPs, as relevant.</p>	Describe the chance finds procedures in the ESMPs. Implement the procedures throughout Subproject implementation.	CRS
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
	This standard is not currently relevant.		
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<p><b>STAKEHOLDER ENGAGEMENT PLAN DEVELOPMENT AND IMPLEMENTATION</b></p> <p>Implement the Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p>	The SEP shall be implemented throughout the entire duration of the Project.	CRS
10.2	<p><b>PROJECT GRIEVANCE MECHANISM</b></p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	The Project's Grievance Redress Mechanism shall be available no later than 60 days after the Effective Date and function throughout Project implementation.	CRS
<b>CAPACITY SUPPORT (TRAINING)</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
FC1	<p><b>TRAINING FOR BENEFICIARIES</b></p> <p>Training aimed at beneficiaries on the implementation of management measures for ESHS aspects included in the ESMF and the respective Subproject ESMP.</p>	Ongoing training throughout Project implementation.	CRS
FC2	<p><b>TRAINING FOR PIU SPECIALISTS</b></p> <p>Training aimed at PIU on the Bank's ESF and the Project's E&amp;S instruments.</p>	Within (30) thirty days after the specialists are hired, and continuously throughout Project implementation, as applicable, and as necessary throughout Project implementation.	CRS
FC3	<p><b>CONTRACTOR AND SERVICE PROVIDER TRAINING</b></p> <p>Training aimed at Subproject contractors and service providers on occupational health and safety aspects, ESHS management, and workers' code of conduct.</p>	Training shall be provided throughout the Subproject implementation period, as necessary.	CRS